BEFORE THE PHYSICIAN ASSISTANT BOARD MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation and Petition to Revoke Probation Against:)))
SUDHA SHARMA, P.A.) Case No. 1E-2013-234601
Physician Assistant)
License No. PA 12831)
Respondent)))

DECISION AND ORDER

The attached Stipulated Surrender of License and Disciplinary Order is hereby adopted as the Decision and Order of Physician Assistant Board, Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on September 13, 2017.

IT IS SO ORDERED September 6, 2017 .

PHYSICIAN ASSISTANT BOARD

Maureen L. Forsyth

Executive Officer

1 Xavier Becerra					
Attorney General of California ALEXANDRA M. ALVAREZ					
Supervising Deputy Attorney General MARA FAUST					
Deputy Attorney General 4 State Bar No. 111729					
1300 I Street, Suite 125 5 P.O. Box 944255					
Sacramento, CA 94244-2550 Telephone: (916) 324-5358	. •				
Facsimile: (916) 327-2247	•				
Attorneys for Complainant					
9					
PHYSICIAN ASSISTANT BOARD	BEFORE THE PHYSICIAN ASSISTANT BOARD				
DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
12					
In the Matter of the Accusation/Petition to Revoke Probation Against: Case Nos. 1E-2013-234601					
STIPULATED SURRENDER LICENSE AND DISCIPLINA					
15 1300 Buccaneer Court Modesto, California 95356	INI ONDER				
Physician Assistant License No. PA 12831					
17					
18 Respondent.					
19	;				
IT IS HEREBY STIPULATED AND AGREED by and between the parties	s to the above-				
21 entitled proceedings that the following matters are true:					
PARTIES					
1. Maureen L. Forsyth (Complainant) is the Executive Officer of the Physical Physica	vsician Assistant				
Board (Board). She brought this action solely in her official capacity and is represented in this					
25 matter by Xavier Becerra, Attorney General of the State of California, by Mara Fa	•				
26 Attorney General.	and, Doputy				
27 ///					

- 2. Sudha Sharma, P.A. (Respondent) is represented in this proceeding by attorney Rebecca B. Hoyes, Esq., whose address is 50 California Street, 34th Floor, San Francisco, CA 94111-4707.
- 3. On or about February 10, 1992, the Board issued Physician's Assistant License No. PA 12831 to Sudha Sharma, P.A. (Respondent). The Physician Assistant License No. was in full force and effect at all times relevant to the charges brought in Accusation/Petition to Revoke Probation No. 1E-2013-234601 and Accusation No. 950-2016-000814, and will expire on August 31, 2017, unless renewed.

JURISDICTION

4. On June 27, 2017, Accusation and Petition to Revoke Probation No. 1E-2013-234601 was filed before the Board, and on July 20, 2017, Accusation No. 950-2016-000814 was filed before the Board. Both cases are currently pending against Respondent. The Accusation and Petition to Revoke Probation No. 1E-2013-234601 and Accusation No. 950-2016-000814, and all other statutorily required documents were properly served on Respondent. Respondent timely filed her Notice of Defense contesting the Accusation and Petition to Revoke Probation 1E-2013-234601 and Accusation No. 950-2016-000814. A copy of Accusation and Petition to Revoke Probation No. 1E-2013-234601 is attached as Exhibit A and incorporated by reference. A copy of Accusation No. 950-2016-000814 is attached as Exhibit B and incorporated by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in the Accusation and Petition to Revoke Probation No. 1E-2013-234601 and the Accusation No. 950-2016-000814. Respondent also has carefully read, fully discussed with counsel, and understands the effects of this Stipulated Surrender of License and Disciplinary Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation and Petition to Revoke Probation and Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the

attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent understands that the charges and allegations in Accusation and Petition to Revoke Probation No. 1E-2013-234601 and Accusation No. 950-2016-000814, if proven at a hearing, constitute cause for imposing discipline upon her Physician Assistant License No. PA 12831.
- 9. For the purpose of resolving the Accusation/Petition to Revoke Probation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation/Petition to Revoke Probation and that those charges constitute cause for discipline. Respondent hereby gives up her right to contest that cause for discipline exists based on those charges.
- 10. Respondent understands that by signing this stipulation she enables the Board to issue an order accepting the surrender of her Physician Assistant License No. without further process.

CONTINGENCY

approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

ORDER

IT IS HEREBY ORDERED that Physician Assistant License No. No. PA 12831, issued to Respondent Sudha Sharma, P.A., is surrendered and accepted by the Physician Assistant Board.

- 1. The surrender of Respondent's Physician Assistant License No. PA 12831 and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Physician Assistant Board.
- 2. Respondent shall lose all rights and privileges as a Physician Assistant in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board her pocket license and, if one was issued, her wall certificate on or before the effective date of the Decision and Order.
- 4. If Respondent ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed, and all of the charges and allegations contained in Accusation and Petition to Revoke Probation No. 1E-2013-234601 and Accusation No. No. 950-2016-000814 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.
- 5. Respondent shall pay the agency its costs of investigation and enforcement in the amount of \$4,009.50 prior to filing a petition for reinstatement of license.
- 6. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of

ENDORSEMENT The foregoing Stipulated Surrender of License and Disciplinary Order is hereby 2 respectfully submitted for consideration by the Physician Assistant Board of the Department of .3 4 Consumer Affairs. 5 Dated: 8/21/17 Respectfully submitted, 6 XAVIER BECERRA Attorney General of California ALEXANDRA M. ALVAREZ Supervising Deputy Attorney General 8 Mara Faust 10 Deputy Attorney General Attorneys for Complainant 11 12 13 SA2017304853 32976689.doc 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

Exhibit A

Accusation/Petition to Revoke Probation No. 1E-2013-234601

		FILED		
1	Vivin Brann	STATE OF CALIFORNIA		
-	XAVIER BECERRA Attorney General of California	MEDICAL BOARD OF CALIFORNIA		
2	ALEXANDRA M. ALVAREZ	SACRAMENTO <u>June 27 20 17</u> BY <u>Roby Fitzwato</u> ANALYST		
3	Supervising Deputy Attorney General MARA FAUST			
4	Deputy Attorney General State Bar No. 111729			
	California Department of Justice			
5	1300 I Street, Suite 125 P.O. Box 944255			
6	Sacramento, CA 94244-2550			
7	Telephone: (916) 324-5358 Facsimile: (916) 327-2247			
8	Attorneys for Complainant			
9	BEFORE THE			
10	PHYSICIAN ASSISTANT BOARD DEPARTMENT OF CONSUMER AFFAIRS			
11	STATE OF CALIFORNIA			
12	In the Matter of the Accusation and Petition to Revoke Probation Against,	Case No. 1E-2013-234601		
13		OAH No.		
14	SUDHA SHARMA, P.A. 1300 Buccaneer Court	ACCUSATION AND PETITION TO		
15	Modesto, CA 95356	REVOKE PROBATION		
.	Physician Assistant License No. PA 12831			
. 16	Respondent.			
17	Teopondon.			
18	Complainant alleges:			
19	PAI	RTIES		
20	,	brings this Accusation and Petition to Revoke		
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21	Probation solely in her official capacity as the Executive Officer of the Physician Assistant Board,			
22	Department of Consumer Affairs.			
23	2. On or about February 10, 1992, the Physician Assistant Board issued Physician			
24	Assistant License No. PA 12831 to Sudha Sharma P.A. (Respondent). The license expires on			
25	August 31, 2017. A cease practice order has been in effect since June 14, 2017.			
26	3. In a disciplinary action entitled "In	the Matter of the Amended Accusation Against		
27	Sudha Sharma P.A.," Case No. 1E-2013-23460	1, the Physician Assistant Board, issued a		
28	decision, effective May 20, 2016, in which Resp	oondent's Physician Assistant License was		
		1		

revoked. However, the revocation was stayed and Respondent's Physician Assistant License was placed on probation for a period of five (5) years with certain terms and conditions. A copy of that decision is attached as Exhibit A and is incorporated by reference.

JURISDICTION

- 4. This Accusation and Petition to Revoke Probation is brought before the Physician Assistant Board (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 5. Section 3527 of the Code states:
- "(a) The board may order the denial of an application for, or the issuance subject to terms and conditions of, or the suspension or revocation of, or the imposition of probationary conditions upon a physician assistant license after a hearing as required in Section 3528 for unprofessional conduct which includes, but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the board or the Medical Board of California.
- "(b) The board may order the denial of an application for, or the suspension or revocation of, or the imposition of probationary conditions upon, an approved program after a hearing as required in Section 3528 for a violation of this chapter or the regulations adopted pursuant thereto.
- "(c) The Medical Board of California may order the denial of an application for, or the issuance subject to terms and conditions of, or the suspension or revocation of, or the imposition of probationary conditions upon, an approval to supervise a physician assistant, after a hearing as required in Section 3528, for unprofessional conduct, which includes, but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the board or the Medical Board of California.
- "(d) Notwithstanding subdivision (c), the Division of Medical Quality of the Medical Board of California, in conjunction with an action it has commenced against a physician and surgeon, may, in its own discretion and without the concurrence of the Medical Board of

California, order the suspension or revocation of, or the imposition of probationary conditions upon, an approval to supervise a physician assistant, after a hearing as required in Section 3528, for unprofessional conduct, which includes, but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the board or the Medical Board of California.

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- "(f) The board may order the licensee to pay the costs of monitoring the probationary conditions imposed on the license.
- "(g) The expiration, cancellation, forfeiture, or suspension of a physician assistant license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license."
- 6. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Division deems proper.
 - 7. Section 2234 of the Code states, in pertinent part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(d) Incompetence.

COST RECOVERY

8. Section 125.3 of the Code states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of

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the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

CAUSE FOR DISCIPLINE

(Failure to Pass the Clinical Training Program/PACE- Incompetence)

- 9. Respondent is subject to disciplinary action under sections 3527, 2234 and 2234(d), of the Code, in that she was found to be incompetent in that she failed to pass the Physician Assistant Assessment Program (hereinafter PACE¹ Program). The circumstances are as follows:
- On or about February 6 through 10, 2017, respondent participated in a physician assistant assessment, and on May 15, 2017, she participated in a chart stimulated recall as part of her assessment. In a report from PACE authored on June 5, 2017, the PACE reviewers collectively gave respondent a failing grade. Respondent's performance was unsatisfactory both in her medical interviewing skills, and in her oral examination in family medicine. In her performance of the chart stimulation recall, respondent also received a failing score, in that she needed significant improvement in her record keeping, as well as improvement in her medical knowledge and clinical decision-making abilities. Respondent had a range of unsatisfactory to satisfactory scores in her chart review notes. For example, in three of the seven charts, some of her writing was illegible, and there were insufficient details in the history and physical sections. The PACE family medicine faculty found respondent's medical knowledge to range from unsatisfactory to satisfactory. Some reviewers found respondent was not up-to-date on current guidelines and was unable to come up with sufficiently comprehensive differential diagnoses, while other reviewers found respondent demonstrated adequate knowledge for her level of training. However, the overall assessment from PACE reviewers was a Category 4 failure which signifies a poor performance that is not compatible with overall physician assistant competency and safe practice.²

(Failure to Successfully Pass the Clinical Training Program/PACE)

11. Paragraph 10 is repeated here as fully set forth above.

¹ PACE actually stands for Physician Assessment and Clinical Education, of which a related program assesses physician assistants.

² Additionally, PACE staff have recommended that respondent undergo a neuropsychological-fitness for duty evaluation by a Board approved neuropsychologist and to date respondent has not fulfilled this requirement.

12. At all times after the effective date of Respondent's probation, Condition 3 stated:

"CLINICAL TRAINING PROGRAM Within 60 days of the effective date of this decision, respondent shall submit to the Board or its designee for prior approval, a clinical training or educational program such as the Physician Assessment and Clinical Education Program (PACE) offered by the University of California – San Diego School of Medicine or equivalent program as approved by the Board or its designee. The exact number of hours and

specific content of the program shall be determined by the Board or its designee. The program

shall determine whether respondent has successfully completed and passed the program.

"Respondent shall pay the cost of the program.

"If the program makes recommendations for the scope and length of any additional educational or clinical training, treatment for any medical or psychological condition, or anything else affecting respondent's practice as a physician assistant, respondent shall comply with the program recommendations and pay all associated costs.

"Respondent shall successfully complete and pass the program not later than six months after respondent's initial enrollment. The program determines whether or not the respondent successfully completes the program.

"If respondent fails to either (1) complete the program within the designated time period, or (2) to pass the program as determined by the program, respondent shall cease practicing as a physician assistant immediately after being notified by the Board or its designee."

13. At all times after the effective date of Respondent's probation, Condition 17 stated:

"VIOLATION OF PROBATION If respondent violates probation in any respect, the Board, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final."

14. Respondent's probation is subject to revocation because she failed to comply with Probation Conditions 3 and 17. The facts and circumstances regarding this violation are that

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respondent failed to complete the PACE physician assistant assessment successfully and as such is in violation of her probation.

DISCIPLINE CONSIDERATIONS

- 15. To determine the degree of discipline, if any, to be imposed on Respondent, Complainant alleges that on or about May 20, 2016, in a prior disciplinary action entitled, "In the Matter of the Amended Accusation Against Sudha Sharma P.A. before the Physician Assistant Board," in Case No. 1E-2013-234601, Respondent's Physician Assistant license was revoked. However, the revocation was stayed and Respondent's Physician Assistant License was placed on probation for a period of five (5) years with certain terms and conditions That decision is now final and is incorporated by reference as if fully set forth.
- 16. Complainant further alleges that on or about December 21, 2011, in a prior disciplinary action entitled, "In the Matter of the Accusation and Petition to Revoke Probation Against Sudha Sharma P.A. before the Physician Assistant Board," in Case No. D1-2004-160622, Respondent's Physician Assistant license was revoked. However, the revocation was stayed and Respondent's Physician Assistant License was placed on probation for a period of two (2) additional years with certain terms and conditions. That decision is now final and is incorporated by reference as if fully set forth. Complainant further alleges that on or about December 4, 2006, in a prior disciplinary action entitled, "In the Matter of the Accusation Against Sudha Sharma P.A. before the Physician Assistant Board," in Case No. 1E-2004-160622, Respondent's Physician Assistant license was revoked. However, the revocation was stayed and Respondent's Physician Assistant License was placed on probation for a period of five (5) years with certain terms and conditions. That decision is now final and is incorporated by reference as if fully set forth.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Physician Assistant Board issue a decision:

- 1. Revoking the probation that was granted by the Physician Assistant Board in Case No. 1E-2013-234601 and imposing the disciplinary order that was stayed thereby revoking Physician Assistant License No. PA 12831 issued to respondent Sudha Sharma P.A.;
- 2. Revoking or suspending Physician Assistant License No. PA 12831, issued to respondent Sudha Sharma P.A.;
- 3. Ordering respondent Sudha Sharma, P.A. to pay the Physician Assistant Board the reasonable costs of investigation and enforcement of this case, and if placed on probation, the costs of probation monitoring;
 - 4. Taking such other and further action as deemed necessary and proper.

DATED: __June 27, 2017

SA2017304853 32926607.doc MAUREEN-L. FORSYTH

Executive Officer

Physician Assistant Board

Department of Consumer Affairs

State of California

Complainant

Exhibit A

Decision and Order

Physician Assistant Board Case No. 1E-2013-234601

BEFORE THE PHYSICIAN ASSISTANT BOARD MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Amended Accusation Against:)
CTIDITA CITADIMA DA)) (1 N: -1E 2012 22 (601
SUDHA SHARMA, P.A.) Case No. 1E-2013-234601
Physician Assistant)
License No. PA 12831)
)
Respondent)
)

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Physician Assistant Board, Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 20, 2016.

IT IS SO ORDERED April 22, 2016.

PHYSICIAN ASSISTANT BOARD

Robert E. Sachs, P.A., President

	•	•
1	KAMALA D. HARRIS	
2	Attorney General of California JOSE R. GUERRERO	
3	Supervising Deputy Attorney General MARA FAUST	
4	Deputy Attorney General State Bar No. 111729	
5	1300 I Street, Suite 125 P.O. Box 944255	
6	Sacramento, CA 94244-2550 Telephone: (916) 324-5358	
7	Facsimile: (916) 327-2247 Attorneys for Complainant	
8	BEFORI	THE
_	PHYSICIAN ASSI DEPARTMENT OF CO	STANT BOARD
9	STATE OF CA	
10		
11	In the Matter of the Amended Accusation Against:	Case No. 1E-2013-234601
12	SUDHA SHARMA, P.A.	DAH No. 2015070636
13	1300 Buccaneer Court	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER
14	Physician Assistant License No. PA 12831	OIGCH ENVART ORDER
15	Respondent.	
16	Respondent	•
17		
18	IT IS HEREBY STIPULATED AND AGRI	EED by and between the parties to the above-
19	entitled proceedings that the following matters are	true:
20	PAR	<u>TIES</u>
21	1. Glenn L. Mitchell, Jr. ("Complainant") is the Executive Officer of the Physician
22	Assistant Board. He brought this action solely in	his official capacity and is represented in this
23	matter by Kamala D. Harris, Attorney General of	the State of California, by Mara Faust, Deputy
24	Attorney General.	
25	2. Respondent Sudha Sharma, P.A. ("Re	spondent") is represented in this proceeding by
26	attorney Mitchell Green, whose address is: 50 Ca	lifornia Street, 34th Floor, San Francisco, CA
27.	94111-4707.	
28	///	
•	1	

STIPULATED SETTLEMENT (1E-2013-234601)

- 3. On or about February 10, 1992, the Physician Assistant Board issued Physician Assistant License No. PA 12831 to Sudha Sharma, P.A. (Respondent). The Physician Assistant License was in full force and effect at all times relevant to the charges brought in Accusation No. 1E-2013-234601 and will expire on August 31, 2017, unless renewed.
- 4. On or about August 25, 2006, an Accusation was filed against Respondent. On December 4, 2006, a Decision became effective to place Respondent's license on five (5) years of probation with terms and conditions. On or about August 6, 2010, an Accusation and Petition to Revoke Probation was filed against Respondent. On or about December 21, 2011, a Decision became effective placing Respondent's license on an additional two (2) years of probation for a total of seven (7) years.

JURISDICTION

- 5. Accusation No. 1E-2013-234601 was filed before the Physician Assistant Board (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 29, 2015. Respondent timely filed her Notice of Defense contesting the Accusation.

 Amended Accusation No. 1E-2013-23601 and all other statutorily required documents were properly served on Respondent on September 9, 20015. Respondent timely filed her Notice of Defense contesting the Amended Accusation.
- 6. A copy of Amended Accusation No. 1E-2013-234601 is attached as exhibit A and incorporated herein by reference.

· ADVISEMENT AND WAIVERS

- 7. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Amended Accusation No. 1E-2013-234601. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 8. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Amended Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the

17.

right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

9. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 10. Respondent understands and agrees that the charges and allegations in Amended Accusation No. 1E-2013-234601, if proven at a hearing, constitute cause for imposing discipline upon her Physician Assistant License.
- 11. For the purpose of resolving the Amended Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Amended Accusation, and that Respondent hereby gives up her right to contest those charges.
- 12. Respondent agrees that if she ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the Board, all of the charges and allegations contained in Amended Accusation No. 1E-2013-234601 shall be deemed true, correct and fully admitted by Respondent for purposes of any such proceedings or any other licensing proceeding involving Respondent in the State of California.
- 13. Respondent agrees that her Physician Assistant License is subject to discipline and she agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.
- 14. This stipulation shall be subject to approval by the Physician Assistant Board. Respondent understands and agrees that counsel for Complainant and the staff of the Physician Assistant Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary

27.

Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 15. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician Assistant License No. PA 12831 issued to Respondent Sudha Sharma, P.A. is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1. <u>MEDICAL RECORD KEEPING COURSE</u> Within 60 calendar days of the effective date of this decision, respondent shall enroll in a course in medical record keeping approved in advance by the committee or its designee. The course shall be Category I certified, limited to classroom, conference, or seminar settings. Respondent shall successfully complete the course within the first 6 months of probation.

Respondent shall pay the costs of the course.

Respondent shall submit a certification of successful completion of the committee or its designee within 15 days after completing the course.

2. <u>EDUCATION COURSE</u> Within 60 days of the effective date of the decision, respondent shall submit to the Board or its designee for its prior approval an educational program or course from an accredited program which shall not be less than 10 hours of Category 1 CME. The education course shall be aimed at correcting any areas of deficient practice or knowledge. The course shall be Category I certified, limited to classroom, conference, or seminar settings. Respondent shall successfully complete the course within the first year of probation.

Respondent shall pay the cost of the course.

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27⁻ 28 Respondent shall submit a certification of successful completion to the Board or its designee within 15 days after completing the course.

3. <u>CLINICAL TRAINING PROGRAM</u> Within 60 days of the effective date of this decision, respondent shall submit to the Board or its designee for prior approval, a clinical training or educational program such as the Physician Assessment and Clinical Education Program (PACE offered by the University of California – San Diego School of Medicine or equivalent program as approved by the Board or its designee. The exact number of hours and specific content of the program shall be determined by the Board or its designee. The program shall determine whether respondent has successfully completed and passed the program.

Respondent shall pay the cost of the program.

If the program makes recommendations for the scope and length of any additional educational or clinical training, treatment for any medical or psychological condition, or anything else affecting respondent's practice as a physician assistant, respondent shall comply with the program recommendations and pay all associated costs.

Respondent shall successfully complete and pass the program not later than six months after respondent's initial enrollment. The program determines whether or not the respondent successfully completes the program.

If respondent fails to either (1)complete the program within the designated time period, or (2) to pass the program as determined by the program, respondent shall cease practicing as a physician assistant immediately after being notified by the Board or its designee.

4. MAINTENANCE OF PATIENT MEDICAL RECORDS

Respondent shall keep written medical records for each patient contact (including all visits and phone calls).

Fifty percent (50%) of all medical records originated by the respondent shall be reviewed, initialed, and dated weekly by a supervising physician, provided however, that after three years of compliance, the percentage shall be reduced to thirty-five (35%) of all medical records originated by the respondent.

5. ON-SITE SUPERVISION

A supervising physician shall be on site at least 50% of the time respondent is practicing.

6. <u>APPROVAL OF SUPERVISING PHYSICIAN</u> Within 30 days of the effective date of this decision, respondent shall submit to the Board or its designee for its prior approval the name and license number of the supervising physician and a practice plan detailing the nature and frequency of supervision to be provided. Respondent shall not practice until the supervising physician and practice plan are approved by the Board or its designee.

Respondent shall have the supervising physician submit quarterly reports to the Board or its designee.

If the supervising physician resigns or is no longer available, respondent shall, within 15 days, submit the name and license number of a new supervising physician for approval.

- 7. NOTIFICATION OF EMPLOYER AND SUPERVISING PHYSICIAN Responden shall notify his/her current and any subsequent employer and supervising physician(s) of the discipline and provide a copy of the Accusation, Decision, and Order to each employer and supervising physician(s) during his/her period of probation, at the onset of that employment. Respondent shall ensure that each employer informs the Board or its designee, in writing within thirty days, verifying that the employer and supervising physician(s) have received a copy of the Accusation, Decision, and Order.
- 8. <u>OBEY ALL LAWS</u> Respondent shall obey all federal, state, and local laws, and all rules governing the practice of medicine as a physician assistant in California, and remain in full compliance with any court ordered criminal probation, payments, and other orders
- 9. <u>QUARTERLY REPORTS</u> Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board or its designee, stating whether there has been compliance with all the conditions of probation.
- 10. OTHER PROBATION REQUIREMENTS Respondent shall comply with the Board's probation unit. Respondent shall, at all times, keep the Board and probation unit informed of respondent's business and residence addresses. Changes of such addresses shall be immediately communicated in writing to the Board and probation unit. Under no circumstances shall a post

office box serve as an address of record, except as allowed by California Code of Regulations 1399.523.

Respondent shall appear in person for an initial probation interview with committee or its designee within 90 days of the decision. Respondent shall attend the initial interview at a time and place determined by the Board or its designee.

Respondent shall, at all times, maintain a current and renewed physician assistant license.

Respondent shall also immediately inform the probation unit, in writing, of any travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) days.

- 11. <u>INTERVIEW WITH MEDICAL CONSULTANT</u> Respondent shall appear in person for interviews with the Board's medical or expert physician assistant consultant upon request at various intervals and with reasonable notice.
- 12. TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE The period of probation shall not run during the time respondent is residing or practicing outside the jurisdiction of California. If, during probation, respondent moves out of the jurisdiction of California to reside or practice elsewhere, including federal facilities, respondent is required to immediately notify the Board in writing of the date of departure and the date of return, if any.

Respondent's license shall be automatically canceled if respondent's period of temporary or permanent residence or practice outside California totals two years. Respondent's license shall not be canceled as long as respondent is residing and practicing as a physician assistant in another state of the United States and is on active probation with the physician assistant licensing authority of that state, in which case the two year period shall begin on the date probation is completed or terminated in that state.

13. FAILURE TO PRACTICE AS A PHYSICIAN ASSISTANT – CALIFORNIA

RESIDENT In the event respondent resides in California and for any reason respondent stops practicing as a physician assistant in California, respondent shall notify the Board or its designee in writing within 30 calendar days prior to the dates of non-practice and return to practice. Any period of non-practice within California, as defined in this condition, will not apply to the

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reduction of the probationary term and does not relieve respondent of the responsibility to comply with the terms and conditions of probation. Non-practice is defined as any period of time exceeding 30 calendar days in which respondent is not practicing as a physician assistant.

All time spent in a clinical training program that has been approved by the Board or its designee, shall be considered time spent in the practice of medicine. For purposes of this condition, non-practice due to a Board ordered suspension or in compliance with any other condition or probation, shall not be considered a period of non-practice.

Respondent's license shall be automatically canceled if, for a total of two years, respondent resides in California and fails to practice as a physician assistant.

- 14. <u>UNANNOUNCED CLINICAL SITE VISIT</u> The Board or its designee may make unannounced clinical site visits at any time to ensure that respondent is complying with all terms and conditions of probation.
- 15. <u>CONDITION FULFILLMENT</u> A course, evaluation, or treatment completed after the acts that gave rise to the charges in the accusation, but prior to the effective date of the decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of the condition.
- 16. <u>COMPLETION OF PROBATION</u> Respondent shall comply with all financial obligations (e.g., cost recovery, probation costs) no later than 60 calendar days prior to the completion of probation. Upon successful completion of probation, respondent's license will be fully restored.
- 17. <u>VIOLATION OF PROBATION</u> If respondent violates probation in any respect, the Board, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 18. <u>COST RECOVERY</u> The respondent is hereby ordered to reimburse the Physician Assistant Board the amount of \$4,533.00 within 90 days from the effective date of this decision for its investigative costs. Failure to reimburse the Board's costs for its investigation shall constitute a

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violation of the probation order, unless the Board agrees in writing to payment by an installment

plan because of financial hardship. The filing of bankruptcy by the respondent shall not relieve

with probation monitoring each and every year of probation, as designated by the Board, which

may be adjusted on an annual basis. The costs shall be made payable to the Physician Assistant

probation, if respondent ceases practicing due to retirement, health reasons, or is otherwise unable

to satisfy the terms and conditions of probation, respondent may request the voluntarily surrender

of respondent's license to the Board. The Board reserves the right to evaluate the respondent's

request and to exercise its discretion whether to grant the request, or to take any other action

deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the

surrender, respondent shall within 15 days deliver respondent's wallet and wall certificate to the

Board or its designee and shall no longer practice as a physician assistant. Respondent will no

longer be subject to the terms and conditions of probation and the surrender of respondent's

license shall be deemed disciplinary action. If respondent re-applies for a physician assistant

license, the application shall be treated as a petition for reinstatement of a revoked license.

PROBATION MONITORING COSTS Respondent shall pay the costs associated

VOLUNTARY LICENSE SURRENDER Following the effective date of this

the respondent of his/her responsibility to reimburse the Board for its investigative costs.

Board and delivered to the Board no later than January 31 of each calendar year.

1 2 3 5 б 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Mitchell Green. I understand the stipulation and the effect it will have on my Physician Assistant License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Physician Assistant Board.

DATED:	3/4/16	Andla Arme	
	/	SUDHA SHARMA, P.A.	
		Respondent	

I have read and fully discussed with Respondent Sudha Sharma, P.A. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

1 approve its	s form and content.		
	3/4/16	•	. /
DATED:	21 1/14		l,
			Mitaball

Mitchell Green Attorney for Responden

itcher.

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Physician Assistant Board.

	, ,		1	
Dated: March	И.	2016		
Paicu. Maich		, 2010		

Respectfully submitted,

KAMALA D. HARRIS Attorney General of California Jose R. Guerrero Supervising Deputy Attorney General

MARA FAUST Deputy Attorney General

Attorneys for Complainant

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Exhibit A

Amended Accusation No. 1E-2013-234601

FILED STATE OF CALIFORNIA KAMALA D. HARRIS MEDICAL BOARD OF CALIFORNIA Attorney General of California SACRAMENTO September 920 15 Jose R. Guerrero Supervising Deputy Attorney General 3 MARA FAUST Deputy Attorney General State Bar No. 111729 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 324-5358 Facsimile: (916) 327-2247 Attorneys for Complainant 8 BEFORE THE PHYSICIAN ASSISTANT BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 11. Case No. 1E-2013-234601 In the Matter of the Amended Accusation Against: 12 OAH No. 2015070636 SUDHA SHARMA, P.A. 1300 Buccaneer Court 13 AMENDED ACCUSATION Modesto, California 95356 14 Physician Assistant License No. PA 12831 15 Respondent. .16 17 Complainant alleges: PARTIES 18 19 Glenn L. Mitchell, Jr. (Complainant) brings this Amended Accusation solely in his official capacity as the Executive Officer of the Physician Assistant Board, Department of 20 21 Consumer Affairs. On or about February 10, 1992, the Physician Assistant Board issued Physician 22 Assistant License Number PA 12831 to Sudha Sharma, P.A. (Respondent). The Physician 23 24 Assistant License was in full force and effect at all times relevant to the charges brought herein 25 and will expire on August 31, 2017, unless renewed. On or about August 25, 2006, an Accusation was filed against Respondent. On 26 December 4, 2006, a Decision became effective to place Respondent's license on five (5) years of 27 probation with terms and conditions. On or about August 6, 2010, an Accusation and Petition to 28

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Revoke Probation was filed against Respondent. On or about December 21, 2011, a Decision became effective placing Respondent's license on an additional two (2) years of probation for a total of seven (7) years.

JURISDICTION

- 4. This Amended Accusation is brought before the Physician Assistant Board (Board),
 Department of Consumer Affairs, under the authority of the following laws. All section
 references are to the Business and Professions Code unless otherwise indicated.
- 5. Section 125.3 of the Code states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
 - 6. Section 3527 of the Code states:
- "(a) The board may order the denial of an application for, or the issuance subject to terms and conditions of, or the suspension or revocation of, or the imposition of probationary conditions upon a physician assistant license after a hearing as required in Section 3528 for unprofessional conduct which includes, but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the board.

"(d) Notwithstanding subdivision (c), the Division of Medical Quality¹ of the Medical Board of California, in conjunction with an action it has commenced against a physician and surgeon, may, in its own discretion and without the concurrence of the board, order the suspension or revocation of, or the imposition of probationary conditions upon, an approval to supervise a physician assistant, after a hearing as required in Section 3528, for unprofessional

California Business and Professions Code section 2002, as amended and effective January 1, 2008, provides that, unless otherwise expressly provided, the term "Board" as used in the State Medical practice Act (Bus. & Prof. Code section 2000, et seq.) means the "Medical Board of California," and references to the "Division of Medical Quality" and "Division of Licensing" in the Act or any other provision of law shall be deemed to refer to the Board,

conduct, which includes, but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the committee or the board.

- 7. Section 3528 of the Code states any proceedings involving the denial, suspension or revocation of the application for licensure or the license of a physician assistant, the application for approval or the approval or the approval or the approval or the approval of an approval program under this chapter shall be conducted in accordance with Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code.
 - 8. California Code of Regulations, title 16, section 1399.521 states:

"In addition to the grounds set forth in section 3527; subdivision (a), of the Code, the [board] may deny, issue subject to terms and conditions, suspend, revoke or place on probation a physician assistant for the following causes: (a) Any violation of the State Medical Practice Act which would constitute unprofessional conduct for a physician and surgeon. (b) Using fraud or deception in passing an examination administered or approved by the committee. (c) Practicing as a physician assistant under a physician who has been prohibited by the division or the Osteopathic Medical Board of California from supervising physician assistants. (d) Performing medical tasks which exceed the scope of practice of a physician assistant as prescribed in these regulations."

9. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.

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 for that negligent diagnosis of the patient shall constitute a single negligent act.

"(2) When the standard of care requires a change in the diagnosis, act, or omission that

"(1) An initial negligent diagnosis followed by an act or omission medically appropriate

"(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

FIRST CAUSE FOR DISCIPLINE

(Failure To Perform Physical Examination)
(Bus. & Prof. Codes section 2234, subdivision (b), Gross Negligence)

- 10. Respondent is subject to disciplinary action under section 2234, subdivision (b), in that Respondent failed to perform a physical examination of patient I.G. during several office visits. The circumstances are as follows:
- 11. In or about 2009, patient I.G., a 14-year-old female, was a patient who was treated at the Sierra Health Center ("clinic"), in Modesto, and her Primary Care Physician was H.P., M.D., but she received direct care on a number of occasions from Respondent, who is a physician assistant. On August 4, 2009, patient I.G. had a positive PPD skin test² (18mm) and was referred to Modesto Advanced Imaging Center for a chest x-ray which was performed on August 6, 2009. The findings of the chest x-ray included the following: "The lung parenchyma appears to be adequately aerated and demonstrates infiltrative process in the left suprahilar region. There also appears to be some mildly increased density over the right paratracheal region. Infiltrate in the left suprahilar region which may represent pneumonia, tuberculosis is not excluded."

² PPD is the abbreviation for "purified protein derivative" and this skin test determines whether a person has an immune response to the tuberculosis bacteria, which indicates prior exposure.

12. On August 17, 2009, Respondent saw patient I.G. at the clinic and prescribed Isoniazid (INH) therapy with instructions to return for a follow-up appointment in one month. This therapy was intended to last three months. INH therapy can kill the tuberculosis bacteria over time and tends to be a less toxic, less expensive and easier to administer then other alternative treatments.

- 13. On September 22, 2009, patient I.G. returned to the clinic complaining of neck pain for four weeks and was referred to D.S., a nurse practitioner, who ordered a cervical spine x-ray. The mother had stopped the INH therapy thinking her child was cured. On the same day, the x-ray was read by a radiologist who found a deformity wedge at C4 of the patient's cervical spine, which was partially fused at C3 of the patient's cervical spine. The radiologist recommended that an MRI or CT scan be performed on the patient.
- 14. On November 9, 2009, Respondent saw patient I.G. at the clinic for an immunization update. Respondent performed no physical examination of this patient but she ordered laboratory tests and instructed the patient to re-start her INH medication. Though Respondent had the patient's chart she did not address the radiologist's interpretation and recommendations from the September 22, 2009, x-ray. Respondent did not consult with her supervising physician on the care of I.G.
- 15. On March 12, 2010, patient I.G. was again seen by Respondent at the clinic for an immunization update. Respondent performed no physical examination on this patient but instructed the patient to continue taking her INH medication. Though Respondent had the patient's chart she did not address the radiologist's interpretation and recommendations from the September 22, 2009, x-ray. Respondent did not consult with her supervising physician on the care of I.G.
- 16. On May 28, 2010, patient I.G. came to the clinic complaining of bilateral arm pain for two months and was seen by nurse practitioner, L.K. On June 14, 2010, patient I.G. was again seen by L.K. and was experiencing significant weight loss and fatigue. On June 16, 2010, patient had another positive PPD skin test and Respondent ordered another chest x-ray. No active tuberculosis was seen in the lungs from the x-ray ordered on June 16, 2010.

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- 17. On July 23, 2010, Respondent again saw patient I.G. at the clinic but did not consult with her supervising physician regarding the care of patient I.G., and did not address the patient's weight loss, arm and prior neck pain nor the radiologists recommendation from September 22, 2009. On August 2, 2010, patient I.G. collapsed at school, was rushed to Kaiser Hospital in Stockton, and was diagnosed with a C2-3 subluxation, due to a mass effect from a Pott's tumor. Pott's disease is a presentation of extra-pulmonary tuberculosis in the spinal vertebrae. This patient is now a quadriplegic.
- 18. A patient who has a history of a positive PPD test, who is taking INH medication should be given a physical examination both to determine any medication side effects but also to determine if there are systemic symptoms of tuberculosis in the skin, bones and/or organs. Respondent's failure to perform a physical examination on November 9, 2009, and March 12, 2010, constitutes an extreme departure from the standard of care in violation of section 2234, subdivision (b), of the Code.

SECOND CAUSE FOR DISCIPLINE

(Failure To Obtain a Consultation with a Supervising Physician)
(Bus. & Prof. Code section 2234, subdivision (b), Gross Negligence)

- 19. Respondent is subject to disciplinary action under section 2234, subdivision (b), in that Respondent failed to obtain a consultation with her supervising physician. The circumstances are as follows:
- 20. Complainant hereby incorporates paragraphs 11 through 18 of the Accusation as though fully set forth herein.
- 21. On or about December 30, 2014, Respondent was interviewed by an HQIU Investigator and a Medical Board consultant. During that interview Respondent admitted that she had access to patient I.G.'s chart at all visits with the patient and never consulted about this case with her supervising physician. She also admitted that she read the radiologists report from September 22, 2009, on July 23, 2010, and was working on having patient I.G. have an MRI or CT scan when she lost track of the file.
- 22. Respondent failed to consult with her supervising physician on September 22, 2009, after learning that the patient discontinued her medication. On the same visit, after the spinal x-

ray revealed abnormalities, with a recommendation by the radiologist for the further tests of an MRI or CT scan, Respondent repeatedly failed to consult with her supervising physician about this recommendation both on September 22, 2009, along with the follow-up visits of November 9, 2009, March 12, 2010, and July 23, 2010. Finally, on July 23, 2010, Respondent failed to consult with her supervising physician regarding the patient's weight loss and prior complaint of arm pain. All of these failures collectively and separately constitute an extreme departure from the standard of care in violation of section 2234, subdivision (b), of the Code.

THIRD CAUSE FOR DISCIPLINE

(Failure to accurately review medical record & address other medical issues) (Bus. & Prof. Code section 2234, subdivision (c), Repeated Negligent Acts)

- 23. Respondent is subject to disciplinary action under section 2234, subdivision (c), in that Respondent engaged in repeated negligent acts for not reviewing medical records and failing to conduct physical examinations. The circumstances are as follows:
- 24. Complainant hereby incorporates paragraphs 11 through 18, and 21 of the Accusation as though fully set forth herein.
- 25. Respondent's failure to perform a physical examination on November 9, 2009, and March 12, 2010, constitutes repeated negligent acts. In addition, Respondent's failure to review medical records, particularly the patient's complaint of neck pain and results of a spinal x-ray on September 22, 2009, before the July 23, 2010, appointment is also a negligent act. All three negligent acts alleged above acts or any two in combination constitute a violation of section 2234, subdivision (c), of the Code.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Physician Assistant Board issue a decision:

- 1. Revoking or suspending Physician Assistant License Number PA 12831, issued to Sudha Sharma, P.A.;
- 2. Ordering Sudha Sharma, P.A. to pay the Physician Assistant Board the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;
 - 3. Taking such other and further action as deemed necessary and proper.

DATED: September 9, 2015

GLENN L. MITCHELL, JR.

Executive Officer

Physician Assistant Board

Department of Consumer Affairs

State of California Complainant

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Exhibit B

Accusation No. 950-2016-000814

FILED

1	•	FILED
		STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA
1	XAVIER BECERRA	SACRAMENTO JULY 20 20 17
2	Attorney General of California ALEXANDRA M. ALVAREZ	BY Robyn Fitzwater ANALYST
3	Supervising Deputy Attorney General MARA FAUST	
4.	Deputy Attorney General State Bar No. 111729	
	1300 I Street, Suite 125	•
5	P.O. Box 944255 Sacramento, CA 94244-2550	
6	Telephone: (916) 324-5358 Facsimile: (916) 327-2247	
7	Attorneys for Complainant	
8		
9		
10	BEFORE THE PHYSICIAN ASSISTANT BOARD	
۱1	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
12	STATE OF C	ALIFORNIA
13	In the Matter of the Accusation Against:	Case No. 950-2016-000814
14	SUDHA SHARMA, P.A.	OAH No.
15	1300 Buccaneer Court Modesto, CA 95356	ACCUSATION
16	Physician Assistant No. PA 12831	
17	Respondent.	
18		
ا وا	Complainant alleges:	
20	PART	TIES
21	1. Maureen L. Forsyth (Complainant) brings this Accusation solely in her official	
22	capacity as the Executive Officer of the Physician Assistant Board, Department of Consumer	
23	Affairs.	
24	•	aveician Assistant Roard issued Physician
25		
-	Assistant No. PA 12831 to Sudha Sharma, P.A. (Respondent). The Physician Assistant License	
26	was in full force and effect at all times relevant to the charges brought herein and will expire on	
27	August 31, 2017, unless renewed.	
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3. On or about May 20, 2016, Physician Assistant License No. PA 12831 was placed on probation for five years with various terms and conditions in Case No. 1E-2013-234601. Previously, in Case No. 1E-2004-160622, Respondent's license was placed on probation for five years with terms and conditions in a Decision and Order effective December 4, 2006, and such probation was extended for an additional two years of probation in a Decision and Order effective December 21, 2011, in Case No. D1-2004-160622.

JURISDICTION

- 4. This Accusation is brought before the Physician Assistant Board (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 5. Section 3527 of the Code provides in relevant part that the board may order the denial of an application for, or the issuance subject to terms and conditions of, or the suspension or revocation of, or the imposition of probationary conditions upon a physician assistant license after a hearing for unprofessional conduct that includes but is not limited to, a violation of this chapter, a violation of the Medical Practice Act, or a violation of the regulations adopted by the board or the medical Board of California.
- 6. Section 3527(f) of the Code provides that the Medical Board of California may order the licensee to pay the costs of monitoring the probationary conditions imposed on the license.
 - 7. Section 3502 of the Code states:
- "(a) Notwithstanding any other provision of law, a physician assistant may perform those medical services as set forth by the regulations adopted under this chapter when the services are rendered under the supervision of a licensed physician and surgeon who is not subject to a disciplinary condition imposed by the Medical Board of California prohibiting that supervision or prohibiting the employment of a physician assistant.
- "(b) Notwithstanding any other provision of law, a physician assistant performing medical services under the supervision of a physician and surgeon may assist a doctor of podiatric medicine who is a partner, shareholder, or employee in the same medical group as the supervising

physician and surgeon. A physician assistant who assists a doctor of podiatric medicine pursuant to this subdivision shall do so only according to patient specific orders from the supervising physician and surgeon.

"The supervising physician and surgeon shall be physically available to the physician assistant for consultation when such assistance is rendered. A physician assistant assisting a doctor of podiatric medicine shall be limited to performing those duties included within the scope of practice of a doctor of podiatric medicine.

"(c)

- (1) A physician assistant and his or her supervising physician and surgeon shall establish written guidelines for the adequate supervision of the physician assistant. This requirement may be satisfied by the supervising physician and surgeon adopting protocols for some or all of the tasks performed by the physician assistant. The protocols adopted pursuant to this subdivision shall comply with the following requirements:
- "(A) A protocol governing diagnosis and management shall, at a minimum, include the presence or absence of symptoms, signs, and other data necessary to establish a diagnosis or assessment, any appropriate tests or studies to order, drugs to recommend to the patient, and education to be provided to the patient.
- "(B) A protocol governing procedures shall set forth the information to be provided to the patient, the nature of the consent to be obtained from the patient, the preparation and technique of the procedure, and the follow up care.
- "(C) Protocols shall be developed by the supervising physician and surgeon or adopted from, or referenced to, texts or other sources.
- "(D) Protocols shall be signed and dated by the supervising physician and surgeon and the physician assistant.
- "(2) The supervising physician and surgeon shall review, countersign, and date a sample consisting of, at a minimum, 5 percent of the medical records of patients treated by the physician assistant functioning under the protocols within 30 days of the date of treatment by the physician assistant. The physician and surgeon shall select for review those cases that by diagnosis,

problem, treatment, or procedure represent, in his or her judgment, the most significant risk to the patient.

- "(3) Notwithstanding any other provision of law, the Medical Board of California or board may establish other alternative mechanisms for the adequate supervision of the physician assistant.
- "(d) No medical services may be performed under this chapter in any of the following areas:
- "(1) The determination of the refractive states of the human eye, or the fitting or adaptation of lenses or frames for the aid thereof.
- "(2) The prescribing or directing the use of, or using, any optical device in connection with ocular exercises, visual training, or orthoptics.
- "(3) The prescribing of contact lenses for, or the fitting or adaptation of contact lenses to, the human eye.
- "(4) The practice of dentistry or dental hygiene or the work of a dental auxiliary as defined in Chapter 4 (commencing with Section 1600).
- "(e) This section shall not be construed in a manner that shall preclude the performance of routine visual screening as defined in Section 3501."
 - 8. Section 3502.1 of the Code states:
- "(a) In addition to the services authorized in the regulations adopted by the Medical Board of California, and except as prohibited by Section 3502, while under the supervision of a licensed physician and surgeon or physicians and surgeons authorized by law to supervise a physician assistant, a physician assistant may administer or provide medication to a patient, or transmit orally, or in writing on a patient's record or in a drug order, an order to a person who may lawfully furnish the medication or medical device pursuant to subdivisions (c) and (d).
- "(1) A supervising physician and surgeon who delegates authority to issue a drug order to a physician assistant may limit this authority by specifying the manner in which the physician assistant may issue delegated prescriptions.

"(2) Each supervising physician and surgeon who delegates the authority to issue a drug order to a physician assistant shall first prepare and adopt, or adopt, a written, practice specific, formulary and protocols that specify all criteria for the use of a particular drug or device, and any contraindications for the selection. Protocols for Schedule II controlled substances shall address the diagnosis of illness, injury, or condition for which the Schedule II controlled substance is being administered, provided or issued. The drugs listed in the protocols shall constitute the formulary and shall include only drugs that are appropriate for use in the type of practice engaged in by the supervising physician and surgeon. When issuing a drug order, the physician assistant is acting on behalf of and as an agent for a supervising physician and surgeon.

"(b) "Drug order" for purposes of this section, means an order for medication which is dispensed to or for a patient, issued and signed by a physician assistant acting as an individual practitioner within the meaning of Section 1306.02 of Title 21 of the Code of Federal Regulations. Notwithstanding any other provision of law, (1) a drug order issued pursuant to this section shall be treated in the same manner as a prescription or order of the supervising physician, (2) all references to 'prescription' in this code and the Health and Safety Code shall include drug orders issued by physician assistants pursuant to authority granted by their supervising physicians, and (3) the signature of a physician assistant on a drug order shall be deemed to be the signature of a prescriber for purposes of this code and the Health and Safety Code.

"(c) A drug order for any patient cared for by the physician assistant that is issued by the physician assistant shall either be based on the protocols described in subdivision (a) or shall be approved by the supervising physician before it is filled or carried out.

"(1) A physician assistant shall not administer or provide a drug or issue a drug order for a drug other than for a drug listed in the formulary without advance approval from a supervising physician and surgeon for the particular patient. At the direction and under the supervision of a physician and surgeon, a physician assistant may hand to a patient of the supervising physician and surgeon a properly labeled prescription drug prepackaged by a physician and surgeon, manufacturer as defined in the Pharmacy Law, or a pharmacist.

"(2) A physician assistant shall not administer, provide or issue a drug order for Schedule II

through Schedule V controlled substances without advance approval by a supervising physician and surgeon for the particular patient unless the physician assistant has completed an education course that covers controlled substances and that meets standards, including pharmacological content, approved by the board. The education course shall be provided either by an accredited continuing education provider or by an approved physician assistant training program. If the physician assistant will administer, provide, or issue a drug order for Schedule II controlled substances, the course shall contain a minimum of three hours exclusively on Schedule II controlled substances. Completion of the requirements set forth in this paragraph shall be verified and documented in the manner established by the board prior to the physician assistant's use of a registration number issued by the United States Drug Enforcement Administration to the physician assistant to administer, provide, or issue a drug order to a patient for a controlled substance without advance approval by a supervising physician and surgeon for that particular patient.

- "(3) Any drug order issued by a physician assistant shall be subject to a reasonable quantitative limitation consistent with customary medical practice in the supervising physician and surgeon's practice.
- "(d) A written drug order issued pursuant to subdivision (a), except a written drug order in a patient's medical record in a health facility or medical practice, shall contain the printed name, address, and phone number of the supervising physician and surgeon, the printed or stamped name and license number of the physician assistant, and the signature of the physician assistant. Further, a written drug order for a controlled substance, except a written drug order in a patient's medical record in a health facility or a medical practice, shall include the federal controlled substances registration number of the physician assistant and shall otherwise comply with the provisions of Section 11162.1 of the Health and Safety Code. Except as otherwise required for written drug orders for controlled substances under Section 11162.1 of the Health and Safety Code, the requirements of this subdivision may be met through stamping or otherwise imprinting on the supervising physician and surgeon's prescription blank to show the name, license number, and if applicable, the federal controlled substances registration number of the physician assistant,

and shall be signed by the physician assistant. When using a drug order, the physician assistant is acting on behalf of and as the agent of a supervising physician and surgeon.

- "(e)(1) The medical record of any patient cared for by a physician assistant for whom the physician assistant's Schedule II drug order has been issued or carried out shall be reviewed and countersigned and dated by a supervising physician and surgeon within seven days.
- "(f) All physician assistants who are authorized by their supervising physicians to issue drug orders for controlled substances shall register with the United States Drug Enforcement Administration (DEA).
- "(g) The board shall consult with the Medical Board of California and report during its sunset review required by Article 7.5 (commencing with Section 9147.7) of Chapter 1.5 of Part 1 of Division 2 of Title 2 of the Government Code the impacts of exempting Schedule III and Schedule IV drug orders from the requirement for a physician and surgeon to review and countersign the affected medical record of a patient."
 - 9. Section 2234 of the Code states, in pertinent part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
 - "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
 - "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a

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27 28 reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

- 10. California Code of Regulations, title 16, section 1399.540 states:
- (a) A physician assistant may only provide those medical services which he or she is competent to perform and which are consistent with the physician assistant's education, training, and experience, and which are delegated in writing by a supervising physician who is responsible for the patients cared for by that physician assistant.
- (b) The writing which delegates the medical services shall be known as a delegation of services agreement. A delegation of services agreement shall be signed and dated by the physician assistant and each supervising physician. A delegation of services agreement may be signed by more than one supervising physician only if the same medical services have been delegated by each supervising physician. A physician assistant may provide medical services pursuant to more than one delegation of services agreement.
- (c) The committee or division or their representative may require proof or demonstration of competence from any physician assistant for any tasks, procedures or management he or she is performing.
- (d) A physician assistant shall consult with a physician regarding any task, procedure or diagnostic problem which the physician assistant determines exceeds his or her level of competence or shall refer such cases to a physician.
- Section 125.3 of the Code states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- Section 2266 of the Code states that the failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.

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DRUGS

- 13. Xanax is the brand name for Alprazolam, a Schedule IV controlled substance pursuant to Health and Safety Code §11057(d), and a dangerous drug pursuant to Business and Professions Code §4022. It is an anti-anxiety medication in the benzodiazepine family.
- 14. Morphine Sulfate is a generic name for the drug MS contin or Kadian. Morphine is an opioid analgesic drug. It is the main psychoactive chemical in opium. Like other opioids, such as oxycodone, hydromorphone, and heroin, morphine acts directly on the central nervous system (CNS) to relieve pain. Morphine is a Schedule II controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.12. Morphine is a Schedule II controlled substance pursuant to Health and Safety Code 11055, subdivision (b), and a dangerous drug pursuant to Business and Professions Code section 4022.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence-Improper Delegation of Services Agreement-Patients D.W. & R.J.)

- 15. Respondent has subjected her license to disciplinary action under sections 3527, 3502, 3502.1, 2234, subdivision (b), and Title 16 CCR sections 1399.540 for unprofessional conduct in that she was grossly negligent. The circumstances are as follows:
- 16. On or about December 25, 2015 Dr. D. W. and her husband R. J. were involved in a motor vehicle accident in Tracy, California. Dr. D.W. was the driver who caused the vehicle to cross over the center line and hit a pickup truck head on. As a result of the accident Dr. D.W. sustained a broken femur which required surgery to fix. Dr. D.W. was released from the hospital on pain medication from her orthopedic surgeon. On or about December 31, 2015, Dr. D.W., who worked with Respondent at Sierra Health Center, in Modesto, requested morphine from Respondent, as she was not getting relief from her other pain medication. Respondent wrote a prescription for Dr. D.W. for MS Contin #90, (Morphine Sulfate).
- 17. On or about January 1, 2016, patient R.J. was found dead in a trailer that he shared with his wife Dr. D.W. The coroner's report found the cause of death to be mixed drug intoxication, involving ethanol, Xanax and morphine. Respondent had treated patient R.J. from August 18, 2015 through November 20, 2015 where she had prescribed Xanax 1 mg three times a

day for anxiety and panic attacks. A CURES report shows patient continued to fill and refill Respondent's prescriptions for Xanax 1 mg from August 20, 2015 through December 18, 2015 for a total of 450 tablets. In addition, Dr. D.W. also prescribed her husband Xanax 2 mg twice a day from July 20 through 27, 2015 for a total of 100 tablets. In the coroner's report, Dr. D.W. mentioned that her own prescription bottles for Xanax were missing at the time of her husband's death.

18. The delegation of services agreement that Respondent was working under between herself and Dr. R. at Sierra Health Center had no list of protocols attached to the agreement and there is no authorization for Respondent to prescribe particular controlled substances. In addition, there is no documentation that Respondent successfully completed an approved controlled substance prescribing course. Respondent's action of prescribing controlled substances to patient R.J. and Dr. D.W. without a proper delegation of services agreement and proof of taking the controlled substances course collectively constitutes an extreme departure from the standard of care in violation of sections 3527, 3502, 3502.1, 2234, subdivision (b) of the Code, and Title 16 CCR sections 1399.540.

SECOND CAUSE FOR DISCIPLINE (Gross Negligence-Inadequate Medical Record Documentation-Patient R.J. & D.W.)

- 19. Respondent has subjected her license to disciplinary action under sections 3527, 3502, 2234, subdivision (b), and for unprofessional conduct in that she was grossly negligent. The circumstances are as follows:
- 20. Complainant re-alleges paragraphs 16 through 18 above and incorporates them by reference herein as though fully set forth.
- 21. The medical chart note entered by Respondent for patient R.J.'s initial visit, on August 18, 2015, has barely legible handwriting. There is inadequate documentation of patient R.J.'s past medical history for his history of anxiety, panic attacks, bleeding ulcer, hypertension and asthma. The physical examination is inadequate as only lung and cardiac are checked as normal. A depression screen was noted but no explanation given for why it was necessary. There is no explanation as to why sublingual nitroglycerine was given. The plan includes a chemistry

panel, PSA EKG, PFT, and some illegible comment about "Psych" but there is no explanation as to why Respondent ordered these tests.

- 22. For the November 20, 2015, visit for patient R.J., there is a chart entry where Respondent again writes with barely legible handwriting with minimal history. There is no explanation as to why the patient needed a refill for the nitroglycerine tabs. There is no explanation as to why the patient's sleep was disturbed or why the physical exam again just has lung and cardiac checked as normal.
- 23. On December 31, 2015 Respondent saw Dr. D.W. and made a chart note. There is no documentation of the patient's surgery, other than a reference in the assessment of right femur surgery. There is no documentation of the specifics of the auto accident. There is no documentation of what type of pain the patient was suffering or where the pain was located. It was noted by Respondent that the pain medication given by the orthopedist was not working. In addition, there is no record of whether the orthopedist was contacted regarding this issue. There is no documented physical examination.
- 24. Respondent's inadequate documentation as to both of her office visits with patient R.J. and her one office visit with Dr. D.W. collectively constitutes an extreme departure from the standard of care in violation of sections 3527, 3502, 2234, subdivision (b) of the Code.

THIRD CAUSE FOR DISCIPLINE (Gross Negligence-Prescribing Morphine Without Adequate Physical Exam-Patient D.W.)

- 25. Respondent has subjected her license to disciplinary action under sections 3527, 3502, 3502.1, 2234, subdivision (b), and Title 16 CCR sections 1399.540 for unprofessional conduct in that she was grossly negligent. The circumstances are as follows:
- 26. Complainant re-alleges paragraphs 16 through 18 and 23 above and incorporates them by reference herein as though fully set forth.
- 27. Respondent prescribed for Dr. D.W. MS Contin #90, (Morphine), a schedule II controlled substance without a physical examination which is an extreme departure from the standard of care in violation of sections 3527, 3502, 3502.1, 2234, subdivision (b) of the Code, and Title 16 CCR sections 1399.540.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Physician Assistant Board issue a decision:

- 1. Revoking or suspending Physician Assistant Number PA 12831, issued to Respondent Sudha Sharma, P.A.;
- 2. Ordering Respondent Sudha Sharma, P.A. to pay the Physician Assistant Board the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; if placed on probation, the payment of probation monitoring costs pursuant to section 3527(f); and,
 - 3. Taking such other and further action as deemed necessary and proper.

DATED. July 20, 2017

Executive Officer
Physician Assistant Board
Department of Consumer Affair

Department of Consumer Affairs State of California

Complainant

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